

## Meteorological controls of gas exchange at a small English lake

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### Abstract

The relationships between gas transfer velocity,  $k_{600}$ , wind speed, wind direction, rainfall, and relative humidity were examined using measurements of SF<sub>6</sub> evasion from Coatenhill Reservoir, a small (0.017 km<sup>2</sup>), shallow (1.9 ± 0.1 m), man-made lake in northeast England characterized by predominantly low to intermediate wind speeds ~1–10 m s<sup>-1</sup>. A graphical method was used to estimate the wind speed at a standard height of 10 m,  $U_{10}$ , from wind speed measurements at 2 m and 3.8 m. Derived values of  $U_{10}$  normalized to remove thermal stability effects ( $U_{10-n}$ ) were significantly correlated with  $k_{600}$  ( $R^2 = 0.71$ ,  $p < 0.001$ ). A detailed analysis of surface roughness lengths estimated with this procedure showed the  $k_{600}$  versus  $U_{10-n}$  relationship to be sensitive to wind speed modification by the meteorological mast and sensors. With normalization to remove these effects, the correlation between  $k_{600}$  and  $U_{10-n}$  substantially improved ( $R^2 = 0.86$ ,  $p < 0.001$ ). In contrast to previous laboratory findings, relative humidity was not significantly correlated with  $k_{600}$  and rainfall rate ( $R_n$ ) was only weakly correlated with  $k_{600}$ , possibly as a consequence of the effects of these variables being largely masked by the time scales of data averaging and SF<sub>6</sub> sampling. Similarly,  $k_{600}$  was not correlated with wind direction (i.e., fetch). An empirical gas exchange model accounted for 88% of the total variance in  $k_{600}$  ( $p = 0.01$ ) at Coatenhill, with  $U_{10-n}$  and  $R_n$  accounting for 86% and 2%, respectively. Future field investigations of the meteorological controls of  $k_{600}$  will require careful experimental design to allow for more detailed sampling than hitherto has been possible.

Reactive trace gas exchange between water and air is an important process in global biogeochemistry. Quantifying gas fluxes and budgets accurately requires accurate estimates of the gas transfer velocity,  $k_w$ , a physical variable that has been determined experimentally using volatile tracers in a range of aquatic systems. For example, inert SF<sub>6</sub> has been used to evaluate  $k_w$  in lakes (Wanninkhof et al. 1985, 1987; Upstill-Goddard et al. 1990; Clark et al. 1995; Cole and Caraco 1998) and in rivers (Clark et al. 1994). SF<sub>6</sub> has also been used in combination with volatile <sup>3</sup>He to estimate  $k_w$  in coastal seas (Watson et al. 1991; Wanninkhof et al. 1993, 1997; Nightingale et al. 2000*b*) and in the open ocean (Nightingale et al. 2000*a*).

All of these tracer studies have tended to model  $k_w$  empirically as simple functions of wind speed because of the fundamental role of the wind in generating surface water turbulence. However, a detailed comparison of several of these studies (Wanninkhof 1992; Frost and Upstill-Goddard 1999) shows the relationship between  $k_w$  and wind speed to vary widely between them, an observation that cannot be ascribed to the analytical precision of the tracer analyses. On

the other hand, wind speed can be difficult to measure reliably, especially at sea (Taylor et al. 1995). In addition, because the increase in  $k_w$  with wind speed is strongly nonlinear, the averaging of individual wind speed measurements can introduce biases in the results related to differences in the ambient wind speed distributions between studies (Wanninkhof 1992). Although these problems can be corrected for (Wanninkhof 1992; Yelland et al. 1998), the observed discrepancies between the various studies, although somewhat reduced, still remain, implicating other geophysical variables in the gas exchange process (Frost and Upstill-Goddard 1999).

Some potentially important controls of gas exchange in addition to wind speed include rainfall (Ho et al. 1997; Cole and Caraco 1998), the thermal stability of the air–water interface (Erickson 1993), humidity (Quinn and Otto 1971; Münnich et al. 1978; Liss et al. 1981), and wind fetch (Wanninkhof 1992). In addition, surfactant films associated with high organic productivity can influence gas transfer at low to intermediate wind speeds (Frew et al. 1990; Frew 1997), and bubble generation is important at high wind speeds during wave breaking (Asher and Wanninkhof 1995, 1998; Woolf and Thorpe 1991; Woolf 1993, 1997). Nevertheless, to date there have been few attempts to investigate the effects of several of these processes simultaneously. Cole and Caraco (1998) examined the role of rainfall during CO<sub>2</sub> transfer in a small lake at low wind speeds. However, other variables have previously been treated in isolation, either theoretically and/or in the laboratory (Liss et al. 1981; Wanninkhof and Bliven 1991; Erickson 1993; Asher and Farley 1995; Ho et al. 1997; Woolf 1997).

In this study, we investigated the relationships between  $k_w$  in a small oligotrophic lake, determined from the evasion rate of added SF<sub>6</sub>, and wind speed normalized to remove thermal stability effects, wind direction (fetch), rainfall, and

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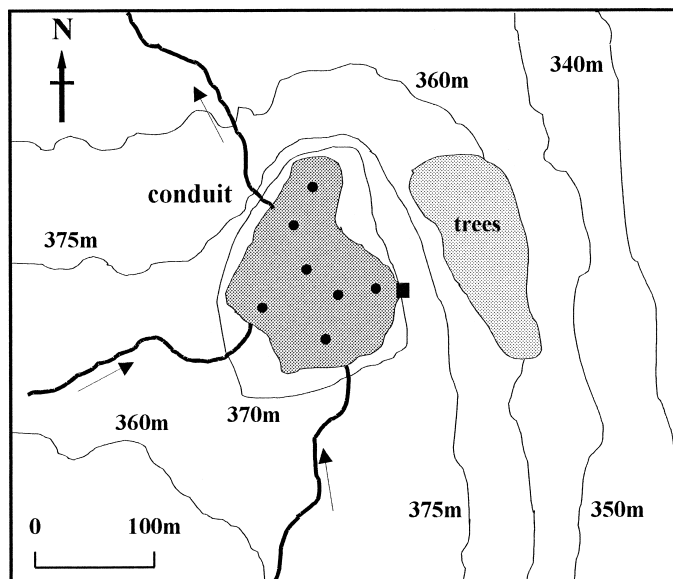


Fig. 1. Coatenhill Reservoir, 54°49.6'N, 2°14.4'W. The filled square gives the location of the meteorological mast and sensors. Filled circles indicate routine sampling locations.

relative humidity. The results were used to construct a simple empirical model of gas exchange. Because small lakes are typically characterized by much lower wind speeds than are large lakes or the open ocean, the opportunities they present for studying gas exchange processes unique to high wind speeds, such as wave breaking and bubble formation, are necessarily limited. Nevertheless, the sampling logistics for small lakes are relatively straightforward, and lateral and/or vertical chemical gradients are generally insignificant, simplifying interpretation of the tracer results. Consequently, gas exchange experiments can be relatively easily controlled, in principle facilitating the collection of highly temporally resolved data and subsequent detailed analysis for key meteorological variables.

## Materials and methods

**Environmental setting**—Figure 1 shows the location of Coatenhill Reservoir, a small man-made lake located ~370 m above sea level on an exposed flank of the North Pennines, northeast England (54°49.6'N, 2°14.4'W). Relevant physical characteristics determined by Frost (1999) are summarized in Table 1. Detailed bathymetric mapping with a portable echo sounder (SupraPro-Eagle; precision  $\pm 0.1$  m) revealed a mean lake depth of  $1.9 \pm 0.1$  m and a maximum of  $3.9 \pm 0.1$  m (Table 1). The lake volume was determined from the bathymetric measurements, and the lake area was derived from U.K. ordnance survey data. Freshwater enters Coatenhill directly as storm runoff and exits via a concrete conduit (Fig. 1). During this study, the freshwater volume of the lake remained constant. Freshwater throughput rates were ~0.04% of total lake volume  $d^{-1}$ , corresponding to a freshwater residence time ~6.7 yr (Table 1).

Areas of dense reeds extending to ~3 m from the northern and southern shores cover <3% of the water surface. The

Table 1. Physical data for Coatenhill Reservoir, 54°49.6'N, 2°14.4'W.

Variable	Units	Value
Catchment area	(m <sup>2</sup> )	$1.3 \times 10^5$
Surface area	(m <sup>2</sup> )	$1.7 \times 10^4$
Maximum water depth	(m)	$3.9 \pm 0.1$
Mean water depth	(m)	$1.9 \pm 0.1$
Mean water volume	(m <sup>3</sup> )	$3.2 \times 10^4$
Mean water throughflow	(m <sup>3</sup> d <sup>-1</sup> )	13.0
Mean water residence time	(yr)	6.7

presence of surface-active material was monitored by weekly measurements of surface tension with a capillary method. Data were indistinguishable from surface tension values for distilled water given in Lide (1991). Therefore, significant modifications to gas exchange by surface-active components (Frew et al. 1990; Frew 1997) can be excluded.

**Tracer deployment and sampling**—SF<sub>6</sub> deployments were from a small boat, following the procedure of Upstill-Goddard et al. (1990). In brief, two 25-liter steel drums were each filled with fresh water less a 30-cm<sup>3</sup> headspace, which was continuously flushed with 200 cm<sup>3</sup> min<sup>-1</sup> pure SF<sub>6</sub> (BOC Special Gases) and recirculated through the water at 2 L min<sup>-1</sup>. This procedure achieved full SF<sub>6</sub> saturation in 50 min (Upstill-Goddard et al. 1990). The tank contents were distributed evenly around the lake at 1-m depth via 10-cm silicon tubing connected to a hand-held pump.

Water samples were collected by boat, at fixed locations marked by buoys (Fig. 1), and from around the lake perimeter, in 100-cm<sup>3</sup> gastight glass syringes (Samco), taking care to avoid air entrainment and disturbance to the bottom sediment. Near surface samples (i.e., down to ~0.3-m depth) were collected by hand, and deeper samples were collected with a peristaltic pump (RS Components) and 0.2-cm diameter Cu tubing. Filled sample syringes were returned to the laboratory immersed in ambient lake water in an insulated container in order to minimize sample outgassing and diffusive losses (Upstill-Goddard et al. 1990).

**Meteorological variables**—Because of the small size of Coatenhill Reservoir (Table 1), the difficulty of spatial wind speed variability commonly associated with large lakes (Wanninkhof 1992) does not arise. The meteorological station was of custom design and construction, with full sensor certification by the manufacturer (Delta-T Devices, model WS-UM-3). It was mounted on a mast secured to a submerged stock fence on the eastern lake shoreline and about 30 m from a small copse of trees to the east (Fig. 1). Hence the instruments were partially sheltered from easterly and northeasterly winds but fully exposed to winds from all other directions. Table 2 summarizes details of meteorological variables recorded. Data were logged by integrating each parameter over precisely determined preset time intervals (Table 2) selected as a compromise between sensor resolution and accuracy. Data storage was in binary format in semiconductor memory sufficient for 6 d continuous logging. Data were routinely downloaded to a laptop computer in situ.

Table 2. Details of meteorological variables measured at Coatenhill Reservoir. Operational limits and accuracy data are those supplied by the manufacturer; the latter includes both sensor and data logger derived errors. Data logger uncertainties were  $\pm 0.17^\circ\text{C}$  temperature,  $\pm 0.2$  mbar pressure,  $\pm 0.5^\circ$  wind direction. The water temperature thermistor was secured to the stock fence about 10 m offshore from the mast. The precision of the humidity measurements is  $\pm 4\%$  at relative humidities above 98% due to condensation on the sensor.

Variable	Sensor type and height	Measurement unit	Logging frequency (min)	Threshold measurement value	Maximum measurable value	Accuracy
Air pressure	Barometer (Delta-T BS3) 2 m	mbar	60	800	1,060	$\pm 0.6$
Wind direction	Wind vane (Delta-T WD1) 3.8 m	degrees from north	5	0.6		$\pm 2$
Wind speed	Anemometers (Delta-T AN1) 2 m and 3.8 m	$\text{m s}^{-1}$	5	0.2	75	$\pm 0.35$
Humidity	Hygrometers (Delta-T TM1) 2 m and 3.8 m	% relative humidity	5	0	100	$\pm < 2$
Air temperature	Thermistors (Delta-T UM-A) 2 m and 3.8 m	$^\circ\text{C}$	5	-20	80	$\pm 0.27$
Water temperature	Thermistor -0.2 m	$^\circ\text{C}$	5	-20	80	$\pm 0.27$

Rainfall data were obtained from a rain gauge located about 5 km from Coatenhill and operated by the U.K. Meteorological Office.

*Water sample analysis*—Each filled sample syringe was emptied to a predetermined volume and a headspace created by backfilling to 100  $\text{cm}^3$  with ultra-high purity (UHP)  $\text{N}_2$ . The extremely low solubility of  $\text{SF}_6$ ,  $\sim 2.4 \times 10^{-4}$   $\text{mol kg}^{-1}$  at  $25^\circ\text{C}$  and 1 atmosphere (Gerrard 1980), meant that vigorous shaking for 4 min ensured quantitative transfer of  $\text{SF}_6$  to the headspace. Although ambient water temperatures at Coatenhill ranged from 1 to  $14^\circ\text{C}$  during the experiments, partitioning errors due to variations in equilibration temperature were not significant.

Equilibrated headspace gases were analyzed by electron capture gas chromatography (Shimadzu GC-8) with chromatographic separation at  $60^\circ\text{C}$  on a 2 m  $\times$  0.2 cm internal diameter 5A molecular sieve column (80–100 mesh, Alltech). UHP  $\text{N}_2$  was used as carrier gas ( $25 \text{ cm}^3 \text{ min}^{-1}$ ). Method calibration was by secondary standards pressure diluted from a primary mixture (Upstill-Goddard et al. 1990) and calibrated against high-accuracy standards prepared independently (Law et al. 1994). Analytical precision (1  $\sigma$ ) established from repeat analyses ( $n = 20$ ) of a 50 parts per trillion by volume (pptv)  $\text{SF}_6$  standard was  $\pm 0.5\%$ .

## Results

*Tracer mixing*—Initial surveys conducted 2 to 4 d after each  $\text{SF}_6$  deployment demonstrated strong thermal and chemical homogeneity. Vertical and lateral variations in  $\text{SF}_6$  were generally  $< 5\%$ . However, samples collected in areas of peripheral reed coverage occasionally had  $\text{SF}_6$  concentrations significantly above the mean for open lake samples,

especially during the warmer months when reed coverage was most extensive and wind speeds were comparatively low. Wave damping in reed covered sites was evident at all wind speeds, presumably leading to decreased gas exchange and excess  $\text{SF}_6$ . We observed a similar phenomenon during an earlier study (Upstill-Goddard et al. 1990). The maximum concentration difference observed between open lake and reed covered sites at Coatenhill was  $\sim 15\%$ . Subsequent release of this water during higher wind speed periods or following reed die back has potential implications for the subsequent calculation of  $k_w$ . However, we estimated the reed beds to occupy  $< 2\%$  of the total lake volume. Although periodic release of this water could cause significant  $\text{SF}_6$  inhomogeneity during its initial mixing with adjacent lake water, following complete mixing the resulting increase in mean  $\text{SF}_6$  concentration would not exceed 0.5%. Because decreases in  $\text{SF}_6$  concentration between sampling intervals were always far greater than 0.5%, representative  $\text{SF}_6$  concentrations were determined by averaging the data obtained at individual stations.

*Gas transfer velocities*—The flux of a gas,  $F$ , across an air–water interface is related to  $k_w$  by

$$F = k_w(C_w - \beta C_a) \quad (1)$$

where  $C_w$  is the gas concentration in water directly below the interface,  $C_a$  is the equilibrium gas concentration in air just above the interface, and  $\beta$  is the Ostwald solubility coefficient. In a given time period  $\Delta t$

$$F = (\Delta M / \Delta t) / A \quad (2)$$

where  $M$  is the total mass of gas contained under an air–water interface of surface area  $A$ . Because Coatenhill was always well mixed with respect to  $\text{SF}_6$  concentrations

$$F = (\Delta C_w / \Delta t)h \quad (3)$$

where  $h$  is the mean water depth. Combination of Eqs. 1 and 3 gives

$$\Delta C_w / \Delta t = k_w / h [C_w - (\beta C_a)] \quad (4)$$

Because the condition  $C_w \gg \beta C_a$  was always fulfilled during sampling,  $\beta C_a$  can be ignored and integration gives

$$k_w = h / \Delta t \ln(C_i / C_f) \quad (5)$$

where  $C_i$  and  $C_f$  are, respectively, the initial and final gas concentrations in the water during time period  $\Delta t$ .

*Non-gas exchange losses of SF<sub>6</sub>*—In addition to its direct evasion to air, SF<sub>6</sub> is lost from Coatenhill by water through flow, requiring the inclusion of a dilution term,  $F_d$ , in Eq. 3

$$F + F_d = (\Delta C_w / \Delta t)h \quad (6)$$

(Ho et al. 1997). Because Coatenhill operated at constant water volume,  $F_d$  can be determined from

$$F_d = C_w h (j / V_l) \quad (7)$$

where  $V_l$  is the lake volume and  $j$  is the water outflow rate measured at the conduit (Ho et al. 1997). Combining Eqs. 6 and 7 and integrating over  $\Delta t$  gives

$$k_w = h / \Delta t \ln[(C_i / C_f) - (j / V_l)] \quad (8)$$

For the time intervals used here, such corrections to  $k_w$  were always <2%.

Other potential SF<sub>6</sub> losses include scavenging by sediments (Wanninkhof 1986) and coebullition with biogenic gases such as CH<sub>4</sub> (Cole and Caraco 1998). Replicate sediment samples routinely collected in 100-cm<sup>3</sup> gastight syringes at the end of each gas exchange experiment and equilibrated with UHP N<sub>2</sub> failed to elicit a measurable analytical response, implying any reversible adsorption of SF<sub>6</sub> to be weak, in agreement with previous conclusions (Wanninkhof 1986). Cole and Caraco (1998) found SF<sub>6</sub> coebullition losses from Mirror Lake to be at most 200-fold slower than losses due to gas exchange. Based on their data, a change in  $k_w$  of 0.1 cm h<sup>-1</sup> at Coatenhill would require an ebullition rate in excess of 10 L m<sup>-2</sup> d<sup>-1</sup>. Ebullition rate data are not available for our experiments, but because we only ever observed the phenomenon as a short-lived consequence of direct sediment disturbance during sampling, a significant effect from this process can be excluded.

*Wind speed derivation*—The derivation of meaningful wind speeds from cup anemometers can be problematic (DeFelice 1998). In this study, wind speed measurements from anemometers at two fixed heights (Table 2) were required for estimating vertical wind profiles and for deriving additional variables. In order to ensure data integrity, stringent anemometer calibration is essential. The meteorological sensors and data logger used at Coatenhill were an integrated package designed specifically for high-accuracy scientific applications. The two anemometers were rigorously precalibrated by the manufacturer in a wind tunnel over the wind speed range 0–30 m s<sup>-1</sup> and certified to ±1% accuracy by metering their performance against a standard rotor cali-

brated by the U.K. National Maritime Institute. Relative calibration factors (revolutions s<sup>-1</sup> m<sup>-1</sup> s<sup>-1</sup> wind speed) supplied for the two anemometers were 48.3 (2 m) and 48.4 (3.8 m), a discrepancy of <0.2% in measured wind speeds.

Measured wind speeds were graphically extrapolated to  $U_{10}$ , the corresponding wind speed at 10 m, by assuming a logarithmic vertical wind distribution

$$\ln z = [(K/u^*)U(z)] + \ln z_0 \quad (9)$$

where  $U(z)$  is the measured wind speed at height  $z$ ;  $K$  is von Karman's constant, 0.4;  $u^*$  is the friction velocity in air; and  $z_0$  is the surface roughness length (Mackintosh and Thom 1973). The ratio  $K/u^*$  was evaluated graphically for each sampling interval from the slope of  $\ln z$  versus  $U(z)$ , with  $\ln z_0$  derived by substitution.

In our earlier use of the graphical method for  $U_{10}$  at Siblyback Lake and Dozmary Pool, our wind speed data were obtained using commercial yacht masthead transducers of contrasting designs (Upstill-Goddard et al. 1990). Therefore the possibility of a significant measurement offset could not be discounted. Our results were subsequently criticized on the basis that our  $z_0$  estimates were too high for a free water surface (Kwan and Taylor 1993). These authors concluded that the lower of our two anemometers (Upstill-Goddard et al. 1990) may have underestimated true wind speeds, and they subsequently reworked our data using wind speeds from the higher anemometer only and using a fixed  $z_0$  more typical of a free water surface. The resulting modifications to the Siblyback/Dozmary Pool  $k_w$  versus  $U_{10}$  curve (Kwan and Taylor 1993) more closely allied it with other published relationships (Liss and Merlivat 1986; Crucius and Wanninkhof 1990). Nevertheless, many of our  $z_0$  estimates for Coatenhill (mean ~0.1 m; range 10<sup>-7</sup>–1.3 m) are closer to those derived for Siblyback Lake and Dozmary Pool (mean ~0.26 m; range 0.06–0.5 m) than to the value of 10<sup>-4</sup> m adopted by Kwan and Taylor (1993). Unlike the situation for Siblyback Lake and Dozmary Pool, the possibility of anomalous  $z_0$  estimates at Coatenhill arising from measurement offsets is precluded by the choice of higher quality anemometers in the present study and the care taken over their calibrations.

According to Mason (1988), for  $z_0$  variations over short length scales, the effective value of  $z_0$  approaches the largest  $z_0$  values encountered over the averaging length. Adoption of this reasoning at Coatenhill and consideration of its relatively small surface area lead to the conclusion that the surrounding terrain should exert a greater influence on  $z_0$  than does the water surface itself. Consequently, higher  $z_0$  values for Coatenhill than for larger water bodies should be expected. The effect of the surrounding terrain on  $z_0$  was investigated by examining the relationship between  $z_0$  and wind direction. Individual  $z_0$  estimates for each of 45,001 5-min logging intervals were allocated to one of 11 equally sized bins. Data allocation produced 11 consecutive ranges in  $z_0$ , each compiled from 4,091 individual estimates (Table 3). Figure 2 is a wind direction frequency plot for selected bins and reveals some important features of the data. Most importantly, the highest  $z_0$  values (90–100% category, Table 3) were primarily associated with wind directions of 280°–330° (Fig. 2). These correspond to an approach across open ground and the free lake surface (Fig. 1) and on initial in-

Table 3. Ranges of  $z_0$  for Coatenhill Reservoir, based on allocating individual 5 min  $z_0$  estimates to one of 11 equally sized bins in order to produce 11 ranges in  $z_0$ .

Category (%)	$z_0$ range (m)
0–10	$\sim 1 \times 10^{-7}$ – $8 \times 10^{-4}$
10–20	$8 \times 10^{-4}$ –0.008
20–30	0.008–0.02
30–40	0.02–0.03
40–50	0.03–0.05
45–55	0.04–0.07
50–60	0.05–0.09
60–70	0.09–0.15
70–80	0.15–0.3
80–90	0.3–0.6
90–100	0.6–1.3

spection seem somewhat high for such terrain (Mackintosh and Thom 1973; Mason 1988). However, the apparent anomaly can be ascribed to likely interference by the mast assembly and additional sensors, which partly obstructed airflow to the 2-m anemometer from these directions. By contrast, the 3.8-m anemometer had a different boom orientation, such that  $280^\circ$ – $330^\circ$  winds were unhindered but  $60^\circ$ – $90^\circ$  winds were partially obstructed. For this situation Eq. 9 underestimates the decay in wind speed to ground level for  $280^\circ$ – $330^\circ$  winds, leading to an overestimate of  $z_0$ . By way of comparison, winds from directions directly adjacent to these coordinates (i.e.,  $260^\circ$ – $280^\circ$  and  $330^\circ$ – $360^\circ$ ) were unaffected by the mast structure, and the associated  $z_0$  estimates are correspondingly lower. The cluster of relatively high values in the 0–10%  $z_0$  category (Table 3) for wind directions between  $60^\circ$  and  $90^\circ$  (Fig. 2) reflects shielding of the 3.8-m anemometer by its boom. Winds from these directions pass over a copse of trees (Fig. 1) and should give  $z_0$  values somewhat higher than estimated. In this situation, the suppression of measured 3.8-m wind speeds by the boom structure gives an overestimate of the decay in wind speed to ground level and an underestimate of  $z_0$ . Based on this reasoning, all  $U_{10}$  values associated with wind directions of  $60^\circ$ – $90^\circ$  and  $280^\circ$ – $330^\circ$  ( $\sim 5\%$  and  $15\%$  of the total data set, respectively) have been corrected for these effects. For  $60^\circ$ – $90^\circ$  winds,  $U_{10}$  was estimated using the 2-m anemometer data only and adopting the median  $z_0$  value of 0.2 m for the 70–80%  $z_0$  bin, a value compatible with winds flowing directly across the copse (Mackintosh and Thom 1973; Mason 1988). For  $280^\circ$ – $330^\circ$  winds,  $U_{10}$  was estimated using the 3.8-m anemometer data only and the median  $z_0$  value of  $9 \times 10^{-5}$  m for the 0–10%  $z_0$  bin. This value is consistent with winds flowing directly across open ground and the lake surface (Mackintosh and Thom 1973; Mason 1988).

*Gas transfer velocities and wind speed*—The results of the gas exchange experiments are summarized in a Web Appendix on the Limnology and Oceanography web site (Web Appendix 1: [http://www.aslo.org/lo/toc/vol\\_47/issue\\_4/1165a1.pdf](http://www.aslo.org/lo/toc/vol_47/issue_4/1165a1.pdf)). All  $k_w$  estimates derived from Eq. 8 have been converted to  $k_{600}$ , the corresponding value for a Schmidt number of 600 being the value for  $\text{CO}_2$  in freshwater at  $20^\circ\text{C}$

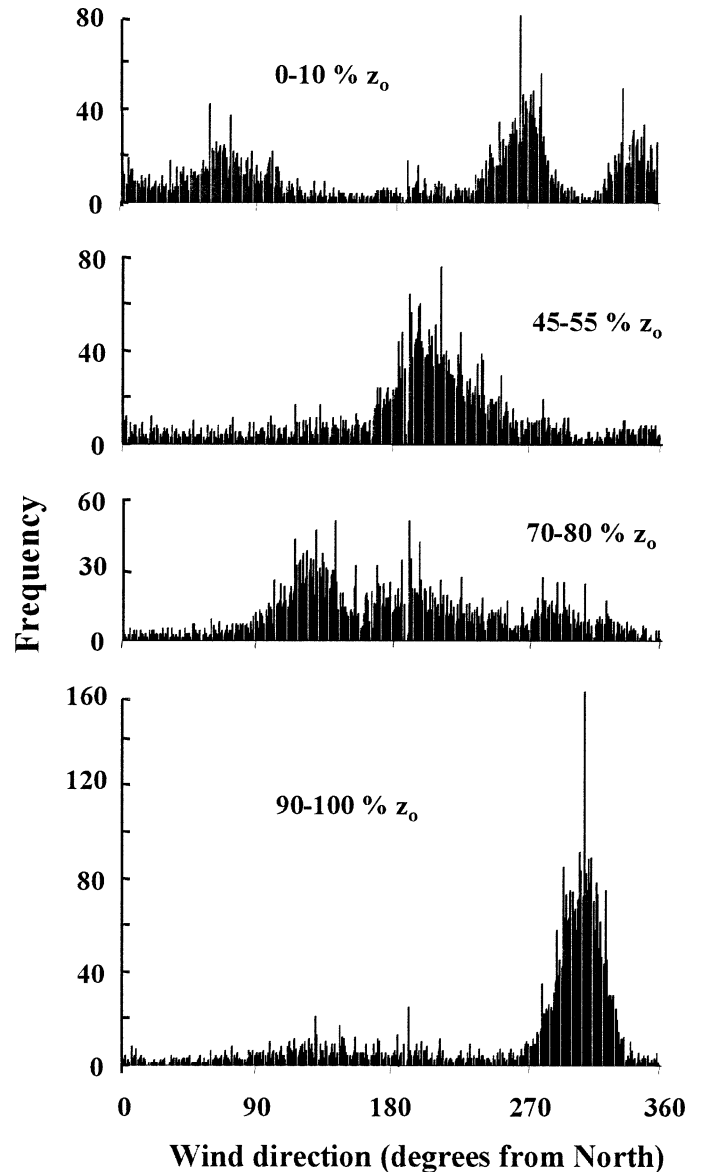


Fig. 2. Wind direction frequency plot for selected  $z_0$  bins summarized in Table 3. See text for details.

$$k_{600} = k_w (S_c / 600)^n \quad (10)$$

where  $S_c$  is the Schmidt number of  $\text{SF}_6$  at the measurement temperature, derived from the empirical relationship of Waninkhof (1992). We used  $n = -0.67$  for  $U_{10} < 3.6 \text{ m s}^{-1}$  and  $n = -0.5$  for  $U_{10} > 3.6 \text{ m s}^{-1}$  (Liss and Merlivat 1986; Frost and Upstill-Goddard 1999).

In the hypothetical case of two identical lakes with identical wind speeds but with contrasting temperature structures across their water–air interfaces, their respective  $k_{600}$  versus  $U_{10}$  relationships will differ, reflecting differences in buoyancy-induced turbulence associated with thermal stability. Therefore, in comparing the results from different gas exchange studies, this effect should be removed by normalizing  $U_{10}$  to  $U_{10-n}$ , the equivalent wind speed for neutral stability conditions (e.g., Nightingale et al. 2000b). Both the original ( $U_{10}$ ) and the stability normalized ( $U_{10-n}$ ) 10-m wind speeds

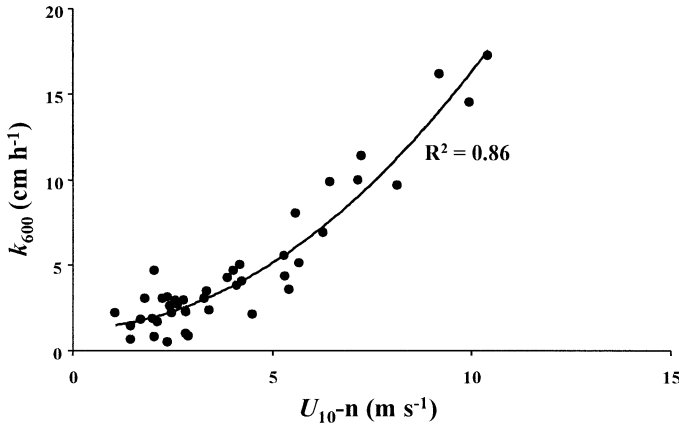


Fig. 3.  $k_{600}$  versus  $U_{10-n}$  relationship at Coatenhill, derived from Eq. 9, with the exclusion of mast and sensor artifacts from the 2-m and 3.8-m wind speed data (see text).

for Coatenhill are listed in the Web Appendix. We used the approach detailed in Erickson (1993) and derived from Large and Pond (1982) to generate estimates of the neutral drag coefficient ( $Cd_n$ ), which could be converted to  $U_{10-n}$ . This required estimating the bulk stability parameter  $z/L$ , where  $L$  is the Monin-Obukhov length (Monin and Obukhov 1954). These estimates were derived from functions of  $\Delta\theta$ , where  $\Delta\theta$  (K) is the water temperature immediately below the air-water interface ( $\theta_w$ ) minus the boundary layer air temperature ( $\theta_a$ ). For stable conditions ( $\Delta\theta < 0$ )

$$\begin{aligned} z/L &= -[70z/U(z)^2T_0] \\ &\times [\Delta\theta + (2.5 \times 10^{-6}T_0^2(Q_s - Q_a))] \end{aligned} \quad (11)$$

and for unstable conditions ( $\Delta\theta > 0$ )

$$\begin{aligned} z/L &= -[100z/U(z)^2T_0] \\ &\times [\Delta\theta + (1.7 \times 10^{-6}T_0^2(Q_s - Q_a))] \end{aligned} \quad (12)$$

$Q_s$ ,  $Q_a$ , and  $T_0$  are defined as follows

$$Q_s = [62,757 \times 10^4 e^{-5107.4/\theta_w}] \quad (13)$$

$$Q_a = [48,029 \times 10^4 e^{-5107.4/\theta_a}] \quad (14)$$

$$T_0 = \theta_a[1 + (1.7 \times 10^{-6}\theta_a Q_s)] \quad (15)$$

(Large and Pond 1982; Erickson 1993). In this treatment we assumed  $\theta_w$  to be equal to the water temperature measured 0.2 m below the water-air interface (see Web Appendix). Estimates of  $Cd_n$  were obtained from  $z/L$  and neutral drag coefficients over the oceans given in Trenberth et al. (1989), using a bulk aerodynamic approach (Large and Pond 1982; Erickson 1993).  $U_{10-n}$  was calculated from  $Cd_n$  adopting the  $U_{10-n}$  versus  $Cd_n$  functional dependence determined by Oost (1998). For these conditions, the wind speed normalizations to neutral stability at Coatenhill are rather small (mean =  $5 \pm 10\%$ , see Web Appendix), consistent with the predictions of Erickson (1993) based on similar ranges in  $U_{10}$  and  $\Delta\theta$ .

In order to interrogate the validity of our protocol for estimating  $U_{10}$  (i.e., by excluding wind mast/sensor interference on the basis of derived  $z_0$  values), we evaluated alternative approaches to deriving the  $k_{600}$  versus  $U_{10-n}$

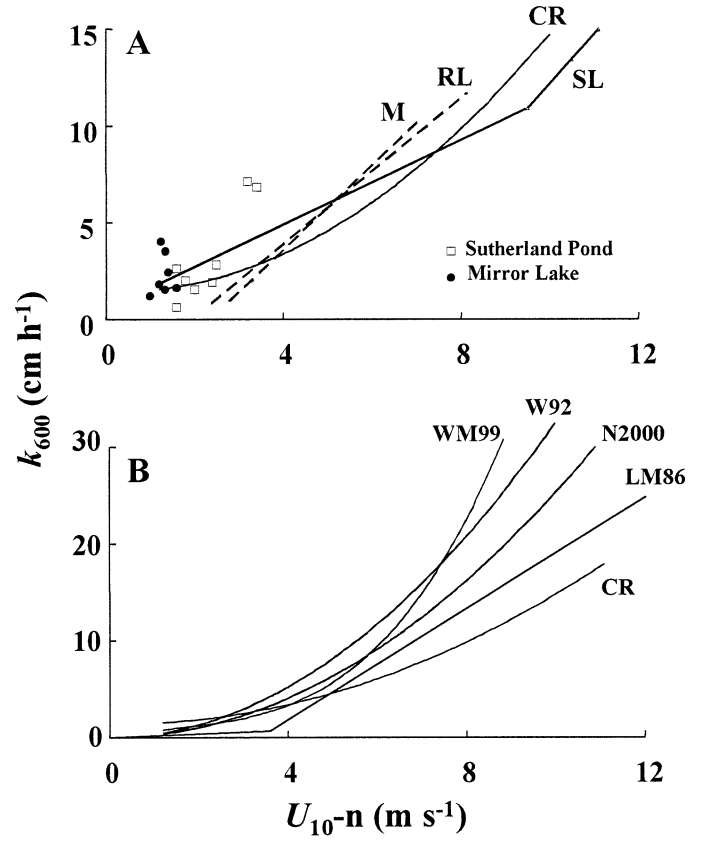


Fig. 4. (A)  $k_{600}$  versus  $U_{10}$  relationships in lakes. CR is Coatenhill Reservoir, SL refers to Siblyback Lake and Dozmary Pool (Upstill-Goddard et al. 1990), M is Mono Lake (Wanninkhof et al. 1997), and RL is Rockland Lake (Wanninkhof et al. 1985). Sutherland Pond data are from Clark et al. (1995) and Mirror Lake data are from Cole and Caraco (1998). (B) Comparison of the Coatenhill relationship with contemporary gas exchange parameterizations. CR is Coatenhill Reservoir, LM86 is the Liss and Merlivat (1986) curve, W92 is the relationship for instantaneous winds derived by Wanninkhof (1992), N2000 is a best fit to North Sea dual tracer data (Nightingale et al. 2000b) and WM99 is the cubic relationship of Wanninkhof and McGillis (1999).

relationship at Coatenhill. With  $U_{10-n}$  derived from  $U_{10}$  calculated from Eq. 9 applied to the full data set, this relationship was best described by a quadratic relationship ( $R^2 = 0.54$ ,  $p < 0.001$ ,  $n = 44$ ). With  $U_{10-n}$  derived from  $U_{10}$  estimated logarithmically using the 3.8-m wind speeds only and with  $z_0$  set to  $10^{-4}$  m (Kwan and Taylor 1993), the data fit improved ( $R^2 = 0.71$ ,  $p < 0.001$ ,  $n = 44$ ). However, the strongest relationship (Fig. 3) was derived by applying Eq. 9 to both sets of anemometer data, filtered to remove mast/sensor artifacts as described earlier ( $R^2 = 0.86$ ,  $p < 0.001$ ,  $n = 44$ ). Based on this comparison, our preferred procedure for estimating  $U_{10}$  seems justified.

## Discussion

*Wind parameters and  $k_{600}$* —Figure 4 compares the  $k_{600}$  versus  $U_{10-n}$  relationship shown in Fig. 3 with  $k_{600}$  versus  $U_{10}$  data for prior lake studies and some other contemporary

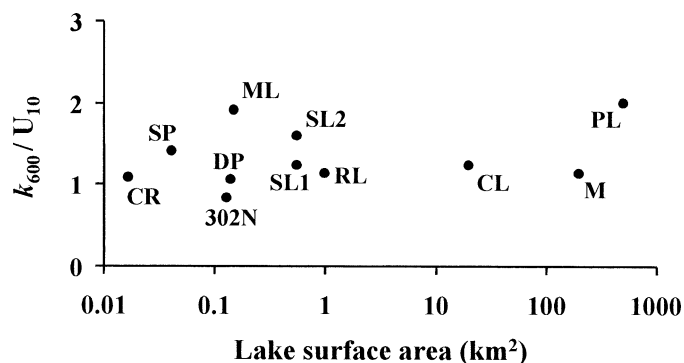


Fig. 5. Relationships between wind speed normalized mean  $k_{600}$  and lake surface area. CR is Coatenhill Reservoir, CL and M are Crowley Lake and Mono Lake (Wanninkhof et al. 1997), DP is Dozmary Pool (Upstill-Goddard et al. 1990), and ML is Mirror Lake (Cole and Caraco 1998). PL is Pyramid Lake (Wanninkhof et al. 1991), RL is Rockland Lake (Wanninkhof et al. 1985), SP is Sutherland Pond (Clark et al. 1995), and 302N is from Crucius and Wanninkhof (1990). SL1 is Siblyback Lake (Upstill-Goddard et al. 1990) and SL2 is Siblyback Lake with the revised  $U_{10}$  estimates of Kwan and Taylor (1993).

relationships for short-term ( $\sim 1$  d) steady winds: a trilinear function derived from wind tunnel results and lake tracer data (Liss and Merlivat 1986), a quadratic relationship derived using an assumed global wind frequency distribution modeled with ocean  $^{14}\text{C}$  (Wanninkhof 1992), a best fit to all existing tracer results from the southern North Sea (Nightingale et al. 2000b), and a cubic relationship derived from  $\text{CO}_2$  covariance measurements compatible with global  $^{14}\text{C}$  constraints (Wanninkhof and McGillis 1999). For all wind speeds  $> 2$  m  $\text{s}^{-1}$ , the Coatenhill results generally predict a lower  $k_{600}$  for any given value of  $U_{10-n}$  than for any of the other lakes studied to date. Momentum transfer from the wind to a water surface has been shown to be partly wind fetch dependent (MacIntyre 1984), and the data in Fig. 4 are for systems of widely differing physical scales. Wanninkhof (1992) showed that for several lakes spanning a wide range of surface areas, for any given value of mean wind speed the value of  $k_{600}$  averaged over the experiment increased with lake surface area and, by implication, wind fetch. Although this does not seem to apply to the results of subsequent lake experiments (Fig. 5), for the majority of these the mean wind speed was rather low and presumably wind fetch would also have been small. Therefore, because Coatenhill Reservoir has approximately the same surface area as the smallest of the lakes compared by Wanninkhof (1992), a significant influence of wind fetch in the data of Fig. 4 cannot be excluded. Even so, as in an earlier study (Upstill-Goddard et al. 1990), we could find no relationship between our individual estimates of  $k_{600}$  and wind direction. Similarly, Nightingale et al. (2000b) could find no such relationship for the southern North Sea. Such lack of a significant  $k_{600}$  versus wind fetch relationship for individual measurements is perhaps not surprising given that the data inevitably incorporate the complicating effects of short-term fluctuations in wind direction and other controlling variables between sampling.

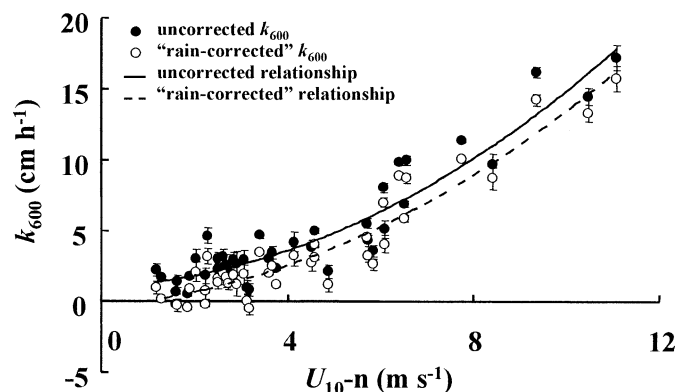


Fig. 6. A comparison of  $k_{600}$  and rain-corrected  $k_{600}$  versus  $U_{10-n}$  at Coatenhill. There is no significant difference between the two correlations;  $R^2 = 0.86$  for both.

*Rainfall effects on  $k_{600}$* —Raindrops striking a water surface cause small increases in turbulence, leading to enhancements of  $k_{600}$  (Ho et al. 1997). Quantification of this effect for Coatenhill requires the influence of  $U_{10-n}$  on  $k_{600}$  (Fig. 3) to be removed from the data. Therefore, we initially calculated the differences between our  $k_{600}$  values and those determined from both the Liss and Merlivat (1986) and Wanninkhof (1992) relationships (Cole and Caraco 1998), for wind speeds below 3 m  $\text{s}^{-1}$  where the wind speed effects on  $k_{600}$  are smallest (Liss and Merlivat 1986; Wanninkhof 1992). However, no significant relationships between these residual  $k_{600}$ s, and rainfall rate were derived. An alternative approach to removing the effects of wind speed by plotting the relationship between rain rate and the ratio  $k_{600}/U_{10-n}$  did give a correlation that, although significant, was rather weak ( $R^2 = 0.25$ ,  $p = 0.001$ ). In contrast, using laboratory data for fixed raindrop sizes at zero wind speed Ho et al. (1997) derived a strong relationship between rain rate and  $k_{600}$ . This involved calculating the rainfall transfer of kinetic energy flux (KEF) to a water surface using a robust relationship between KEF and raindrop size (Marshall and Palmer 1948) in order to negate the effects of a limited drop size spectrum on their results. Their treatment gave

$$k_{600} = 0.679R_n - 0.0015R_n^2 + 0.929 \quad (16)$$

(Ho et al. 1997), where  $R_n$  is the rainfall rate (mm  $\text{h}^{-1}$ ). The lack of a strong relationship at Coatenhill Reservoir of the type found by Ho et al. (1997) highlights the difficulty of deriving meaningful data under field conditions where rainfall occurs as discrete events of a duration unrelated to the frequency of tracer sampling. In addition, it is conceivable that any relationship with rainfall is at least partly obscured by systematic errors in the measurement of  $U_{10-n}$  and in the applied stability correction. Notwithstanding such possibilities, a useful insight into the relationship between  $k_{600}$ ,  $U_{10-n}$ , and  $R_n$  at Coatenhill can be derived by initially assuming the turbulence effects of rain and wind upon  $k_{600}$  to be additive (Cole and Caraco 1998) and applying Eq. 16 to derive rain-corrected values of  $k_{600}$ . The corollary of additive turbulence is that  $U_{10-n}$  should be more closely correlated with rain-corrected  $k_{600}$  than with the initial  $k_{600}$  value. However, this procedure does not improve the fit of the Coatenhill data

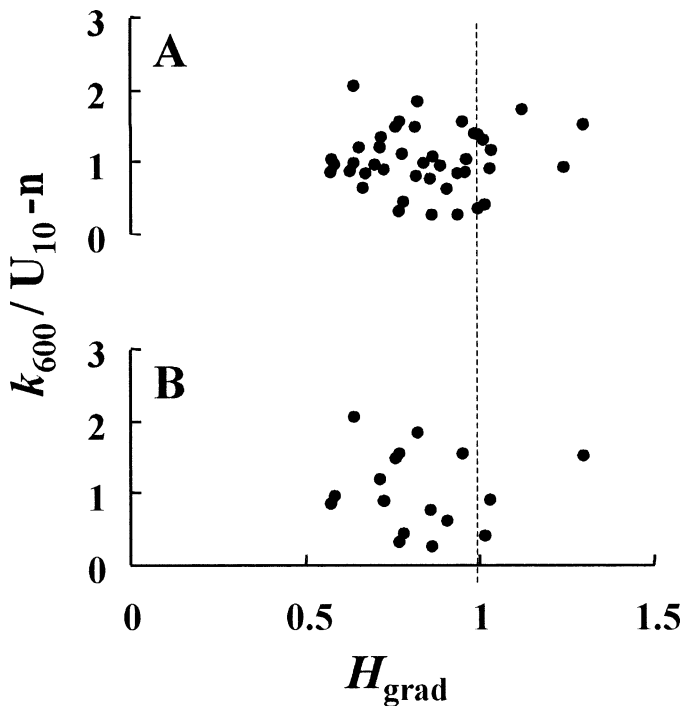


Fig. 7. The relationship between gas exchange and humidity at Coatenhill: (A) all data; (B) data for  $U_{10-n} < 3 \text{ m s}^{-1}$ .

(Fig. 6), and given the robust basis of the Ho et al. (1997) formulation (Marshall and Palmer 1948), the assumption of additive turbulence effects for rain and wind upon  $k_{600}$  may be inappropriate. This conclusion is consistent with a previous contention that the effects of rainfall and wind speed on  $k_{600}$  are intrinsically interlinked (Poon et al. 1992). These authors demonstrated significant rain suppression of wind waves for wind speeds below  $\sim 6 \text{ m s}^{-1}$  in a laboratory tank but could show no observable rain effect above  $6.34 \text{ m s}^{-1}$ . Unfortunately present data are inadequate for a further examination of these conclusions.

**Humidity and  $k_{600}$** —So-called condensing conditions, for which the specific humidity of air immediately above a water surface exceeds that of the bulk boundary layer air, have been associated with  $k_{600}$  suppression in wind tunnels (Liss et al. 1981). In order to examine this phenomenon at Coatenhill, air immediately above the water surface was assumed to be at the same temperature as the water and to have a relative humidity of 100%. This and measured relative humidities in bulk air (web appendix 1) were converted to specific humidities using data from Lide (1991) and used to generate values of  $H_{\text{grad}}$ , the ratio of the specific humidity in interfacial air to that in bulk air. Hence,  $H_{\text{grad}}$  values less than one describe condensing conditions and  $H_{\text{grad}}$  values more than one refer to evaporating conditions. A plot of  $H_{\text{grad}}$  versus  $k_{600}/U_{10-n}$  for values of  $U_{10-n} < 3 \text{ m s}^{-1}$  (Fig. 7) shows no significant correlation. Cole and Caraco (1998) similarly found no correlation between  $k_{600}$  and specific humidity at Mirror Lake. The range in  $H_{\text{grad}}$  at Coatenhill (0.6–1.3) was similar to that in the laboratory study of Liss et al. (1981), 0.5–1.6. Given that conditions in the latter were kept con-

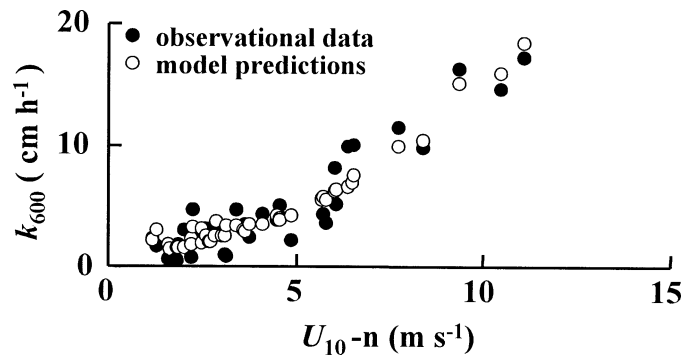


Fig. 8. A comparison of the model predicted  $k_{600}$  versus  $U_{10-n}$  relationship with the observational results.

stant but that the Coatenhill data were necessarily averaged over several days, and assuming the experimental protocols of Liss et al. (1981) to be robust, the lack of any observed humidity control of  $k_{600}$  at Coatenhill is somewhat equivocal. The possibility that temporal variations in other controlling parameters during the averaging procedure act to mask any humidity effects on  $k_{600}$  in field experiments should not be discounted, highlighting a need for more detailed field sampling.

**Empirical gas exchange model**—A multiple regression procedure (Altman 1996) was used to examine the extent to which variability in  $k_{600}$  could be explained by the meteorological variables measured. The model was initially of the form

$$k_{600} = a + b_1(U_{10-n})^2 + b_2(U_{10-n})^3 + b_3(H_{\text{grad}}) + b_4(R_n) \quad (17)$$

All potential controlling variables were included in a preliminary model and a sequential backward stepwise technique was employed to individually exclude them. Only those variables accounting for significant variability ( $p < 0.05$ ) in the data were subsequently reinstated in the model. The resulting model accounted for 88% of the total variance in the data ( $p = 0.01$ ) and was of the form

$$k_{600\text{-mod}} = 1.03 + 0.129(U_{10-n})^2 + 19.99(R_n) \quad (18)$$

with  $U_{10-n}$  ( $\text{m s}^{-1}$ ) and  $R_n$  ( $\text{cm h}^{-1}$ ) accounting for 86% and 2%, respectively, of the total variance. Figure 8 compares observational data with the model predictions. In order to adequately assess the robustness of the model, it is necessary to consider its predictive capacity for individual values of  $k_{600}$  (Altman 1996). This was done by examining the residuals generated by subtracting  $k_{600\text{-mod}}$  from the measured  $k_{600}$  values and plotting the results against the standard normal deviates of  $k_{600}$ , determined by subtracting the mean  $k_{600}$  from each measured value and dividing by the standard deviation (Altman 1996). The results of this treatment (Fig. 9) show that although the majority of the data conform to a linear relationship, there are clearly five outliers. These were generated from  $k_{600}$  estimates for the five highest mean values of  $U_{10-n}$  (7.7–11  $\text{m s}^{-1}$ ). One possibility for this apparent weakening of the  $k_{600}$  versus  $U_{10-n}$  relationship at high  $U_{10-n}$  may be that the onset of breaking waves observed at Coa-

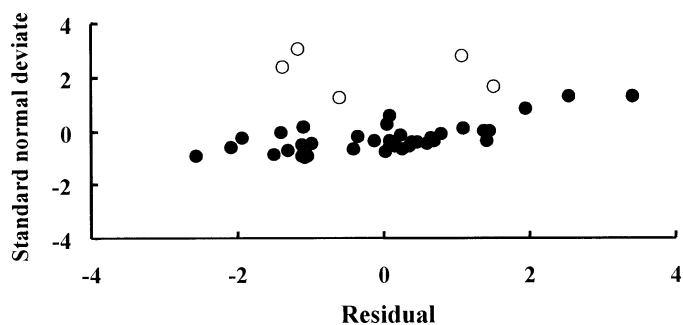


Fig. 9. The relationship between standard normal deviates of  $k_{600}$ , determined by subtracting the mean  $k_{600}$  from each measured value and dividing by the standard deviation, and the model residuals, generated by subtracting  $k_{600-mod}$  from the measured  $k_{600}$  values. Open circles are outliers discussed in the text.

tenhill during these high wind speed events coupled with the low solubility of  $SF_6$  (Gerrard 1980) results in a significant bubble contribution to  $SF_6$  exchange. This possibility highlights the persistent difficulty of determining the functionality of  $k_{600}$  at high wind speeds.

The inherent design of the  $SF_6$  evasion technique, which necessarily involves the averaging of data over time scales that are long in the context of meteorological variability, means that meteorological controls of  $k_{600}$  demonstrated under well-constrained laboratory conditions can fail as  $k_{600}$  predictors in the field. One important example is the effect of rainfall, the detailed investigation of which will require a much more frequent and flexible sampling strategy than has hitherto been employed. Future gas exchange studies should therefore aim to include some form of automated sampling and analysis, such as by deploying semipermanent measurement buoys. With such refinements, and assuming that lakes with wind speed distributions similar to those at sea are selected, future such studies should have great potential for defining more clearly the constraints on  $k_{600}$ . Although directly applying such results in an oceanic situation may currently be contentious, ongoing developments in the measurement of meteorological variables at sea (Walsh and Portis 1999; Wong et al. 1999; Lebedev and Tomczak 1999) make the direct extrapolation of lake-based results to the oceans a realistic prospect.

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